

Research Paper

# The Association of BCG Vaccination with Atopy and Asthma in Adults

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## Abstract

**Introduction:** There are few studies investigating the association between BCG vaccination and atopy or asthma in adults.

**Objective:** We investigated the association between BCG scar and the occurrence of atopy and asthma in Korean adults.

**Methods:** We carried out a retrospective study of Korean adults who underwent skin prick testing, and, in some cases, spirometry and bronchial provocation tests in a secondary care hospital from April 2010 to February 2011. Atopy status was classified according to allergen/histamine (A/H) ratio of wheal (A/H ratio  $\geq 1$ , atopy;  $0 < \text{A/H ratio} < 1$ , intermediate; A/H ratio = 0, non-atopy). A patient with asthma was defined as one who has symptoms compatible with asthma and showed either a positive provocation testing or bronchodilator reversibility.

**Results:** Among 200 participants, neither the presence (intermediate vs. non-atopy: adjusted odds ratio (aOR) 0.83; 95% CI 0.26, 2.60;  $p = 0.75$ , atopy vs. non-atopy: aOR 0.89; 95% CI 0.33, 2.37;  $p = 0.81$ , respectively), nor the size of BCG scar was significantly associated with atopy status. However, among those patients who underwent either bronchodilator response testing or bronchial provocation testing, the presence of BCG scar (aOR 0.33; CI 0.14, 0.77;  $p = 0.01$ ) and the size of BCG scar were inversely associated with asthma. ( $p = 0.01$ )

**Conclusions:** We found a significant association between BCG scar and asthmatic status in Korean adults, although there was no significant association between either the presence or size of BCG scar and atopy.

Key words: BCG vaccine, atopy, asthma, hygiene

## Introduction

The hygiene hypothesis attempts to explain the increase in the prevalence of asthma in developed countries during the past decades. This hypothesis states that the relative lack of infections early in life may promote the development of allergic diseases in genetically predisposed individuals [1, 2]. Shirakawa et al.[3], one of the studies generating the hygiene

hypothesis, reported an inverse reported that exposure to mycobacteria and size of tuberculin response was inversely associated with subsequent atopy in Japanese children.

Various studies have examined whether early exposure to microbial products modulates the immune system in a manner that opposes the mecha-

nisms related to the development of atopy, with negative results or results of limited impact, in contrast to the general expectation [4-10]. However, results seem to vary when studying populations from different ethnic backgrounds. For example, some investigators have shown that bacillus Calmette-Guérin (BCG) immunization offers some protection against atopy in some immigrant populations in western countries [6, 9] or in less developed countries such as Guinea-Bissau [4]. However, some investigations have demonstrated different results [7, 8].

There are few studies investigating the association between BCG vaccination and atopy or asthma in adults. It is unknown if the protection provided by BCG vaccination in preventing the development of allergy can extend to adulthood or whether the memory of the immune system and the capability of polarizing T-lymphocytes may be greater in early life and subsides gradually with age [11]. Although BCG vaccination was reported not to cause long-term induction of a Th1 response in some asthmatic children [12], there is some evidence that even a single dose of an effective BCG vaccine can provide long-term protection against tuberculosis (TB) [13, 14].

In South Korea, it has been recommended that all newborn babies be vaccinated with BCG within four weeks after birth, as the incidence of tuberculosis is relatively high. The primary objective of this study is to determine whether BCG vaccination is associated with the presence of atopy and asthma in Korean adults, using the BCG scar size as an indicator of response to the BCG vaccine.

## Materials and Methods

### Study population

Adults aged 18-86 years who visited the pulmonology department because of respiratory symptoms in a secondary referral hospital and underwent skin prick testing (SPT) from April 2010 to February 2011 were enrolled in this retrospective study. We excluded participants who had any condition known to cause anergy of T lymphocytes (severe malnutrition, immunosuppression by disease or drugs, occurrence of episodes of fever, administration of live virus vaccines in the previous 30 days) or who did not comply with washout of medications that would interfere with spirometry and bronchial provocation tests at the time of the study. The study was approved by the Institutional Review Board of our institute.

### Skin prick testing and measurement of BCG scar size

SPT was performed for 38 common aeroallergens (Allergopharma, Reinbek, Germany) on the back

of each subject. After 15 minutes, the largest diameter of each wheal was measured, as well as the diameter at 90 degrees to the largest diameter. An SPT result was considered positive if the average measurement of the wheal of any allergen was equal to or larger than positive control (histamine). When the allergen/histamine (A/H) ratio of wheal to any aeroallergen was equal or greater than 1, the patient was considered to have atopy. The subject was classified as non-atopic if the A/H ratio was 0. The remainder of the subjects ( $0 < A/H \text{ ratio} < 1$ ) were defined as the intermediate group.

In our hospital, the BCG scar status of patients who visit the pulmonology department has been routinely examined and recorded since 2009. The subjects were checked for BCG scars on their arms, the transverse and longitudinal diameters of BCG scars were also measured by the same examiner using a transparent millimeter ruler and the average scar size was calculated. Chest radiographs from the subjects, if available, were reviewed for pulmonary TB sequelae.

### Pulmonary function tests & methacholine/mannitol challenge

We evaluated the results from spirometry and bronchial provocation testing conducted within one month from the date of SPT. Patients underwent standard spirometry (Vmax series 2130; Sensor Medics, Yorba Linda, CA, USA) according to the recommendations presented in the Guidelines of the American Thoracic Society. Bronchodilator reversibility was defined as an increase in forced expiratory volume in 1 second ( $FEV_1$ )  $> 12\%$  baseline and 200 ml above the prebronchodilator baseline, 30 minutes after the inhalation of 200  $\mu\text{g}$  salbutamol via a metered-dose inhaler.

Inhaled mannitol was delivered using a commercial preparation (Aridol™, Pharmaxis Ltd, Frenchs Forest, NSW, Australia). Increasing doses of mannitol (0, 5, 10, 20, 40, 80, 160, 160, 160 mg) were inhaled via a dry powder inhaler until either a total cumulative dose of 635 mg was administered or until a 15% fall in  $FEV_1$  from baseline was observed 60 seconds after dosing. Airway sensitivity was expressed as the cumulative provoking dose of mannitol to cause a 15% fall in  $FEV_1$  (PD15). If a subject experienced a drop in  $FEV_1$  from baseline of greater than or equal to 15% before or immediately after administration of the final dose, the test was considered positive. The inability to achieve a 15% fall from baseline or greater in  $FEV_1$  by the final dose the test was considered a negative result.[15] Methacholine (Methapharm, Brantford, Ontario, Canada) was administered using an aerosol dosimeter at 5 minute intervals in increasing doses from 0.15 mg/ml to 25

mg/ml until a 20% reduction in FEV<sub>1</sub> was recorded. The provoking concentrations of methacholine required to produce a 20% fall in FEV<sub>1</sub> from the pre-challenge value (PC20) was determined by interpolation. [16] The challenges were separated into positive test results (PC20 ≤ 25 mg/ml) and negative test results (PC20 > 25 mg/ml). A patient with asthma was defined as one who has symptoms compatible with asthma and showed either a documented airway hyper-responsiveness (PC20 methacholine ≤ 25 mg/mL or PD15 mannitol ≤ 635 mg) or bronchodilator reversibility.

### Statistical analysis

Chi-squared tests, Fisher's exact test, linear-by-linear association or Kruskal-Wallis test, if appropriate, were used to compare the differences in prevalence or continuous variables among groups. For multivariable analysis, the multinomial logistic regression model was used to evaluate the association between atopy status (atopy, intermediate, and non-atopy groups) and status of the BCG scar (both presence and size of scar), with adjustment for potential confounding factors. In addition, binary logistic regression analysis was used to elucidate whether asthma status (asthma or non-asthma group) has an association with the status of BCG scar. Analyses were repeated excluding those with old TB scars because tuberculosis is associated with protection from atopy and asthma [3].

A *P* value of less than 0.05 was considered statistically significant. Statistical computations were performed using the SPSS software version 17.0 (SPSS, Chicago, IL, USA).

### Results

In total, two hundred adult subjects were enrolled in the study. Among these subjects, 156 (78.0%) had a BCG scar. The BCG scar group was younger than no BCG scar group. There were no statistically significant differences in sex, the presence of stable old tuberculosis scar in chest radiograph between two groups (Table 1).

The presence of a BCG scar was not significantly associated with reduced risk for an individual to be categorized as intermediate or atopic (intermediate vs. non-atopy: adjusted odds ratio (aOR) 0.83; 95% CI 0.26, 2.60; *p* = 0.75, atopy vs. non-atopy: aOR 0.89; 95% CI 0.33, 2.37; *p* = 0.81, respectively). The statistical power was calculated as 45.3%. We observed that older patients had a lower risk of developing atopy (intermediate vs. non-atopy: aOR for every one year of age 0.97; 95% CI 0.94, 1.00; *p* = 0.05, atopy vs. non-atopy: aOR for every one year of age 0.91; 95% CI 0.89, 0.94; *p* < 0.01, respectively). The mean diameter of BCG scar was not associated with risk of atopy, after adjusting for age and sex (Table 2).

Among those participants who underwent either bronchodilator response testing or bronchial provocation test, the presence of a BCG scar was inversely associated with asthma (aOR 0.33; CI 0.14, 0.77; *p* = 0.01). Fewer asthmatic patients were observed with larger scar size (aOR 0.94; CI 0.89, 0.99; *p* = 0.01). (Table 3)

Subgroup analyses including subjects who showed no old tuberculosis scars on chest radiographs (*n* = 132) revealed similar results (data not shown). The scar size was also investigated as a binary variable comparing large (≥ 5 mm) vs. small (< 5 mm), which did not change the results.

**Table 1.** The characteristics of the study population according to the presence of BCG scar.

Variables	BCG scar (+) (n=156)	BCG scar (-) (n=44)	p-value
Age, years, median (IQR)	35.50 (29.25-50.00)	56.50 (31.50-68.75)	<0.01
Women (%)	79/156 (50.6%)	24/44 (54.5%)	0.65
Diameter of BCG scar, mm, median (IQR)	12 (10-17)	0 (0-0)	<0.01
Chest radiographs			0.76
Stable TB scar on chest x-ray	11/156 (7.1%)	3/44 (6.8%)	
No stable TB scar on chest x-ray	101/156 (64.7%)	31/44 (70.5%)	
No chest x-ray	44/156 (28.2%)	10/44 (22.7%)	
Atopy*			0.18
Non-atopic	53/156 (34.0%)	21/44 (47.7%)	
Intermediate	19/156 (12.2%)	6/44 (13.6%)	
Atopic	84/156 (53.8%)	17/44 (38.6%)	
Asthma**	26/135 (19.3%)	14/40 (35.0%)	0.04
Positive bronchodilator response	15/90 (16.7%)	9/31 (29.0%)	0.14
Positive provocation testing	15/106 (14.2%)	6/22 (27.3%)	0.13

IQR, interquartile range; BCG, bacillus Calmette-Guérin; TB, tuberculosis; Data are presented as n (%) unless otherwise stated.

\* Atopy is defined as positive skin prick test. Inhalant allergens for skin prick test include *Dermatophagoides farinae*, *Dermatophagoides pteronyssinus*, Hay dust, Alder, Hazel, birch, Oak, Beech, Plane tree, Poplar, Elm, Willow tree, Acacia, Ash, Elder, Rye grass, Orchard grass, Timothy grass, Ragweed, Mugwort, Hop Japanese, Meadow fescue, Dandelion, Penicillium, Mucor, Aspergillus, Alternaria, Cladosporium, Candida, Rhizopus, Cockroach, Cat, Dog, Rat, Rabbit, Sheep's wool, Cow and Latex.

\*\* Asthma is defined as either positive bronchodilator response or positive provocation testing

**Table 2.** The association between atopy and BCG scar.

Variables	Atopy status	Unadjusted estimates		Adjusted estimates	
		cOR (95% CI)	p-value	aOR (95% CI)	p-value
<b>Model 1*</b>					
Age (x+1 vs. x), years	Non-atopy	1		1	
	Intermediate	0.97 (0.94, 1.00)	0.06	0.97 (0.94, 1.00)	0.050
	Atopy	0.92 (0.892, 0.94)	<0.01	0.91 (0.89, 0.94)	<0.01
Male vs. Female sex	Non-atopy	1		1	
	Intermediate	2.40 (0.95, 6.07)	0.06	2.56 (0.99, 6.58)	0.051
	Atopy	3.38 (1.79, 6.37)	<0.01	3.65 (1.72, 7.74)	0.001
BCG scar (+) vs. BCG scar (-)	Non-atopy	1		1	
	Intermediate	1.25 (0.44, 3.58)	0.67	0.83 (0.26, 2.60)	0.75
	Atopy	1.96 (0.95, 4.05)	0.07	0.89 (0.33, 2.37)	0.81
<b>Model 2**</b>					
Age (x+1 vs. x), years	Non-atopy	1		1	
	Intermediate	0.97 (0.94, 1.00)	0.06	0.97 (0.94, 1.00)	0.05
	Atopy	0.92 (0.89, 0.94)	<0.01	0.91 (0.89, 0.94)	<0.01
Male vs. Female sex	Non-atopy	1		1	
	Intermediate	2.40 (0.95, 6.07)	0.064	2.57 (0.99, 6.67)	0.06
	Atopy	3.38 (1.79, 6.37)	<0.001	3.71 (1.74, 7.94)	<0.01
BCG scar size (x+1 vs. x), mm	Non-atopy	1		1	
	Intermediate	1.01 (0.96, 1.06)	0.681	1.00 (0.95, 1.07)	0.79
	Atopy	1.00 (0.97, 1.04)	0.986	0.99 (0.95, 1.05)	0.91

cOR, crude odds ratio; CI, confidential interval; aOR, adjusted odds ratio.

\* Multinomial logistic regression model containing age, sex and the presence of BCG scar for adjusted estimates

\*\* Multinomial logistic regression model containing age, sex and the size of BCG scar for adjusted estimates

**Table 3.** The association between asthma and BCG scar.

Variables	Unadjusted estimates		Adjusted estimates	
	cOR (95% CI)	p-value	aOR (95% CI)	p-value
<b>Model 1*</b>				
Age (x+1 vs. x), years	0.99 (0.97, 1.01)	0.29	0.97 (0.95, 1.00)	0.047
Male vs. Female sex	0.59 (0.29, 1.22)	0.15	0.52 (0.24, 1.11)	0.09
BCG scar (+) vs. BCG scar (-)	0.44 (0.20, 0.96)	0.04	0.33 (0.14, 0.77)	0.01
<b>Model 2**</b>				
Age (x+1 vs. x), years	0.99 (0.97, 1.01)	0.29	0.98 (0.96, 1.00)	0.13
Male vs. Female sex	0.59 (0.29, 1.22)	0.15	0.59 (0.28, 1.26)	0.17
BCG scar size (x+1 vs. x), mm	0.94 (0.89, 0.99)	0.01	0.94 (0.89, 0.99)	0.01

cOR, crude odds ratio; CI, confidential interval; aOR, adjusted odds ratio.

\* Binary logistic regression model containing age, sex and the presence of BCG scar for adjusted estimates

\*\* Binary logistic regression model containing age, sex and the size of BCG scar for adjusted estimates

## Discussion

In this study, we did not find a significant association between the presence or size of BCG scar and atopy. However, the presence of a BCG scar showed a negative relationship with asthma.

We used the presence of scar(s) as an indicator of BCG vaccination. Since 1952, a systemic BCG vaccination program was introduced in South Korea and all children had been vaccinated with BCG Pasteur by the intradermal method. As a result of South Korea's liberalized import action since the 1990s, BCG vaccines such as BCG Tokyo, which is administered percutaneously, were also introduced. Considering the age range of participants in this study, most of the study population are intradermal BCG vaccine recipients. BCG vaccination using an intradermal method commonly results in a distinctive scar, compared to administration via subcutaneous methods [17, 18],

and therefore a BCG scar on an appropriate area of the body can be used as an indicator of BCG vaccination. A study showing the protective effect of BCG vaccination against pulmonary tuberculosis among Korean adults also used the scar as an indicator of BCG vaccination [19]. Moreover, it is difficult to get information regarding BCG vaccination status from adults as the BCG vaccination is administered in the neonatal period, and adults may be unaware of their vaccination status. Studies have shown that tissue reactions at the site of the BCG vaccination are proportional to the production of IFN- $\gamma$  in response to the mycobacterial antigen in the vaccine [20, 21]. Therefore, the resulting scar diameter might be a useful measure of the immune response to the BCG vaccine, as well as Th1 lymphocyte activity, which has an inhibitory effect on Th2 lymphocytes and induction of allergy.

With the immunological background, there have been clinical studies evaluating the association between BCG status and allergic diseases. A recent meta-analysis demonstrated that BCG vaccination was unlikely to be associated with protection against the risk of allergic sensitization and disease, but was associated with a protective effect against the risk of asthma [22]. Researchers have also shown an inverse relationship between the diameters of BCG scars and asthma in children [23-25]. However, a study conducted in China by Ma et al. [26] showed no significant difference in BCG scar size between asthmatic and normal children, although children in rural areas had significantly lower scar diameters than urban children. Moreover, there are few studies reporting the association between BCG vaccination and atopy or asthma in adult populations. Although we did not find a significant association between the presence or size of BCG scar and atopy, the presence of BCG scar showed a negative association with asthma in adults in our analysis of patients who had undergone bronchodilator response measurement or bronchial provocation testing.

The protective effect of BCG vaccination against asthma could be explained by the inhibitory effect on the Th2 immune response as mentioned above. One randomized controlled trial of BCG vaccination in adults with moderate-to-severe asthma demonstrated a significant improvement in pulmonary functions and reduction of medication use, accompanied by suppression of the Th2-type immune response [27]. As one study showed that the clinical effects of BCG vaccination persist for more than 60 years [13], and therefore those immunologic effects could be prolonged into adult life. A recent animal study has shown that neonatal BCG vaccination elicited long-term protection by inhibiting allergic airway inflammation mediated by the modulation of Th1/Th2 cytokine production in younger mice, but not in aged mice [28]. The investigators suggested that other mechanism(s) may be responsible for the long-lasting protection of BCG vaccination in aged mice, possibly related to regulatory T cells.

In this study population, we did not find any association between BCG vaccination and atopy. There are several possible explanations. First, as Linehan et al.'s meta-analysis showed [29], it may be possible that there is no relationship between BCG vaccination and allergic diseases other than asthma. The authors of the meta-analysis suggested that, given the failure to show evidence of protection against sensitization, the beneficial effect of BCG vaccination in preventing the development of asthma, although the effect was marginal, [29] assuming the effect is real, is unlikely to be related to atopic mechanisms. In

addition, it has been reported that BCG could protect against lower respiratory tract infections and sepsis [30, 31]. The protection against respiratory infection likely results from the modulation of the innate immune system [32]. Lower respiratory infections probably tend to promote asthma, whereas sepsis might protect against allergy and asthma [33]. Thus, BCG's protection against asthma might indirectly result from fewer respiratory infections, but fewer episodes of sepsis may mask the protective effect of BCG against atopy. It has also been reported that there is an increased frequency of IFN- $\gamma$ -producing CD4 and CD8 T cells in asthmatic patients in comparison to normal subjects [34], suggesting that the Th1 responses may play a role in the pathogenesis of atopy, in contrast to the hygiene hypothesis. The small number of participants in our study did not allow for the analyses of various atopic statuses. Second, BCG administered early appears to protect against atopy compared to BCG administered later in infancy [4, 35]. Many observational studies have included children vaccinated early and late, or of unknown age at vaccination, which adds considerable heterogeneity. Third, confounders might affect the results. Agarwal et al. [36] demonstrated that formation of a BCG scar depends on the vaccination strain and dosage, age, gender, method of immunization, training of administering health professionals, and response to the vaccine, while Santiago et al. [37] reported that scar size did not differ by sex, birth weight, or nutritional status in the first two months. It is possible that some of the above-mentioned confounding factors, which were not accounted for in this study, may be in part responsible for the diameter of the BCG scar. Fourth, ethnicity and genetic factors may have impacted the results [6]. Fifth, our study was underpowered to detect an association with atopy, thus there may be an association that we did not find. The increased power for asthma outcomes versus atopy was also evident in the meta-analysis, with 17 studies for asthma and 7 for SPTs [29].

Our study has some limitations. First, it is possible that there was some selection bias affecting classification of asthma. As this is a retrospective study, the number of participants was chosen for convenience. But such a bias would not be expected to have affected the association between BCG and asthma/atopy. Second, the influence of age and sex might not be sufficiently controlled, although multivariable analyses adjusted for age and sex were performed. Third, we did not completely exclude patients with chronic obstructive pulmonary disease (COPD). As COPD patients are likely to have been classified as cases with asthma, a misclassification of 'non-cases' as 'cases' would dilute any effect and could lead to bias

the results toward no association. Fourth, we used different criteria for the diagnosis of asthma including a methacholine test, mannitol test, and spirometry. The analysis to determine the association between BCG scar and asthma was performed only on those individuals who underwent spirometry with post-bronchodilator measurement or bronchial provocation test. We also recognize that the use of the A/H ratio as a diagnostic criterion of atopy [38] might be another weakness because atopy is usually defined based on size [39].

In conclusions, although there was no significant association between BCG scar and atopy in this study, BCG scar was inversely associated with asthma as diagnosed by either provocation testing or bronchodilator reversibility among Korean adults. Further prospective studies are necessary to clarify the effect of BCG on the development of asthma, and there are ongoing prospective studies [40].

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Sung Soo Park analyzed data and wrote the paper. Eun Young Heo, Deog Kyeom Kim and Hee Soon Chung performed research and collected data. Chang-Hoon Lee designed the study and wrote the paper.

## Competing Interests

The authors have declared that no competing interest exists.

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